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PATENT

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On March 26, 2001

Attorney Docket No.: 15413-0701
Client Ref: 00/004-M69
Customer No. 25714

By


Caroline Pfahl



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PETERSON, et al.

Application No.: 09/654,627

Filed: September 5, 2000

For: **SYSTEM AND METHOD FOR TAX
SENSITIVE PORTFOLIO
OPTIMIZATION**


Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

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Washington, D.C. 20231

Sir:

Please send all future correspondence for the above-identified application to:

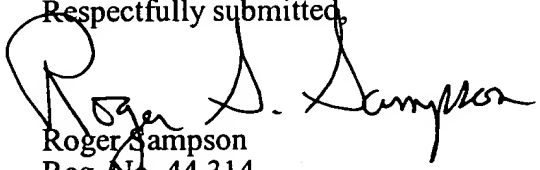
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Additionally, please note that the attorney docket number has changed from 15413-0701.

The undersigned is an attorney of record in this matter.

Dated: March 26, 2001

Respectfully submitted,


Roger Sampson
Reg. No. 44,314

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